# DeepBasis: Hand-Held Single-Image SVBRDF Capture via Two-Level Basis Material Model

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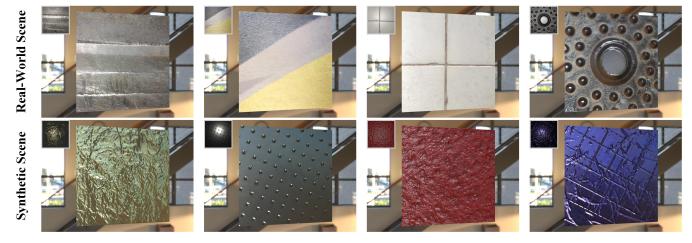


Figure 1: We proposed DeepBasis, a deep-learning-based single-image SVBRDF capture method. Here, we show 4 real-world scenes and 4 synthetic scenes. For each scene, the left-top image is the input image lit by a point light source, and the center visualization is the re-rendering of the estimated material under environment lighting. Thanks to the joint prediction of basis materials and the blending weights, our method can effectively utilize the spatial correlations of materials, thus recovering reflections with rich details.

#### **ABSTRACT**

Recovering spatial-varying bi-directional reflectance distribution function (SVBRDF) from a single hand-held captured image has been a meaningful but challenging task in computer graphics. Benefiting from the learned data priors, some previous methods can utilize the potential material correlations between image pixels

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to serve for SVBRDF estimation. To further reduce the ambiguity from single-image estimation, it is necessary to integrate additional explicit material correlations. Given the flexible expressive ability of basis material assumption, we propose DeepBasis, a deep-learning-based method integrated with this assumption. It jointly predicts basis materials and their blending weights. Then the estimated SVBRDF is their linear combination. To facilitate the extraction of data priors, we introduce a two-level basis model to keep the sufficient representative while using a fixed number of basis materials. Moreover, considering the absence of ground-truth basis materials and weights during network training, we propose a variance-consistency loss and adopt a joint prediction strategy, thereby enabling the existing SVBRDF dataset available for training. Additionally, due to the hand-held capture setting, the exact lighting directions are unknown. We model the lighting direction estimation as a sampling problem and propose an optimization-based algorithm to find the optimal estimation. Quantitative evaluation and qualitative analysis demonstrate that DeepBasis can produce a higher quality SVBRDF estimation than previous methods. All source codes will be publicly released.

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#### CCS CONCEPTS

• Computing methodologies → Reflectance modeling.

#### **KEYWORDS**

Material Reflectance Modeling, SVBRDF, Basis Maiterials, Deep Learning, Rendering

#### **ACM Reference Format:**

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#### 1 INTRODUCTION

Conveniently estimating the spatial-varying bi-directional reflectance distribution function (SVBRDF) from a single hand-held captured image has been a long-standing problem in computer graphics, with applications ranging from visual product design to virtual/mixed reality and cultural heritage. Such estimation is an inherently ill-posed task because each image pixel value is the only one appearance measurement under its corresponding BRDF, and many different BRDFs can yield the same appearance. Thus, additional information needs to be mined to provide more constraints.

Given the learned prior from data, the potential correlations between image pixels can be utilized to serve for single-image SVBRDF estimation [Li et al. 2017; Ye et al. 2018; Li et al. 2018b,a; Deschaintre et al. 2018; Vecchio et al. 2021; Zhou and Kalantari 2021; Guo et al. 2021; Zhou and Kalantari 2022]. Compared with the implicit correlations learned totally from data, some methods [Zhao et al. 2020; Wen et al. 2022] introduce the self-similarity material assumption [Aittala et al. 2015, 2016] into learning-based methods. It provides an additional explicit constraint above the data priors, thereby further reducing the ambiguity of estimated reflectance maps. However, materials with the self-similar property are very limited, and applying this assumption affects the diversity of captured materials. Therefore, there is a crucial need to pursue a more flexible assumption model that can explicitly establish strong material constraints while adapting to various target materials. Given that data priors are derived from the common features extracted from a substantial amount of data [LeCun et al. 2015; Goodfellow et al. 2016], to integrate with data priors more effectively, this model should possess a consistent and fixed form to facilitate the efficient extraction of common features. Meanwhile, there should be a large amount of relevant training data that can train with this model.

In this paper, we propose DeepBasis, a deep-learning-based approach for single-image SVBRDF estimation. It integrates with the well-known basis material assumption [Matusik et al. 2003b,a; Goldman et al. 2010; Zhou et al. 2016; Kim and Lee 2022], and jointly predicts basis materials and their blending weights. This assumption posits that an SVBRDF can be represented by a set of basis materials, thereby establishing explicit spatial correlations. Meanwhile, the adjustable selection of the number and elemental composition of basis materials provides sufficient flexibility to represent a wide range of materials. Technically, our method includes the following aspects. To facilitate the extraction of data priors, we introduce a two-level model to divide basis materials into

global and local components. The adaptive combination of these two components offers the flexible expression capabilities that were previously achieved by altering the basis number. Therefore, even with a fixed basis number, the two-level method still retains a wide representation. To perform training in the absence of ground-truth basis materials and weights, we adopt a joint prediction for both bases and weights. It ensures that SVBRDF can be obtained during each forward pass, thus enabling the utilization of the existing SVBRDF dataset for training. Additionally, to address the potential overlap in the feature extraction of bases and weights during joint training, we introduce a variation-consistency loss as an additional constraint for the predicted basis materials. Moreover, considering the hand-held camera does not guarantee a strict parallel to the material surface, the lighting directions need to be estimated during practical capture. Our observation is that the deviated angle of the camera from being parallel to the material surface is limited. Therefore, we model the estimation of real lighting directions as a sampling problem and propose an optimization-based method to find the optimal estimation.

We evaluated our methods with synthetic and real-world data. The results demonstrated that our DeepBasis could produce better single-image SVBRDF estimation than the existing direct prediction methods [Deschaintre et al. 2018; Zhou and Kalantari 2021, 2022] and the optimization-based method [Gao et al. 2019; Guo et al. 2020].

Overall, our method has the following technical contributions:

- We introduced a two-level basis material model that is specifically designed to fully leverage data priors in the context of basis material assumption.
- We proposed a joint prediction network of basis materials and their blending weights and designed a variation-consistency loss, such that the training process needs no ground-truth basis materials and weights.
- Under the hand-held capture setting, we modeled the real lighting direction estimation as a sampling problem and proposed an optimization-based method to find the optimal real lighting directions.

# 2 RELATED WORK

Our core idea is to integrate basis material assumption with data priors. Thus, we reviewed deep-learning-based methods and some related methods based on spatial correlation assumptions. Furthermore, considering our adopt near-planar material sample assumption, we focused on reviewing methods with the same assumption. For a more overall discussion of material reflectance acquisition, please refer to the surveys [Guarnera et al. 2016; Dong 2019].

# 2.1 SVBRDF Estimation Using Deep Learning

2.1.1 Single Input Image. The approaches in this category focus on utilizing material priors learned from data to serve for single-image SVBRDF estimation. Li et al. [2017] proposed a self-augmentation strategy to obtain training data, and Ye et al. [2018] further minimize the requirements for labeled data. Furthermore, some synthetic datasets [Deschaintre et al. 2018; Li et al. 2018a] of SVBRDFs have been proposed, which motivated many SVBRDF reconstruction work based on deep learning [Zhou and Kalantari 2021; Guo et al.

2021; Vecchio et al. 2021; Zhou and Kalantari 2022]. Deschaintre et al. [2020] also proposed a fine-tuning method to capture large-scale planar materials with a few exemplar SVBRDFs. Martin et al. [2022] captured SVBRDF in the wild. Zhou et al. [2022] recently proposed a model to generate tileable SVBRDF. These methods rely on the learned prior from data to implicitly utilize spatial correlations on the target material. In contrast, our method introduced basis material assumption into deep learning, explicitly building the correlations to make deep learning easily extract the required material feature.

2.1.2 Any Number of Input Images. Deschaintre et al. [2019] expanded their single-image work to accommodate any number of input images by introducing an order-independent fusing layer of images. Ye et al. [2021] estimated high-resolution SVBRDF from a flash-lit close-up video sequence captured by a mobile phone. Besides, latent embedded spaces constructed by deep neural networks [Gao et al. 2019; Guo et al. 2020] have also been proposed for SVBRDF optimization. Although these methods could also handle the single-input image, employing multiple images is typically necessary to achieve accurate estimation and avoid overfitting.

# 2.2 SVBRDF Estimation with Spatial Assumption

2.2.1 Stationary Material Assumption. Wang et al. [2011] proposed that the mesostructure of material surface can be described as a stationary stochastic process. Aittala et al. [2015; 2016] extended the assumption to material reflectance, where similar reflectance properties exist at many different points on the material surface. Based on this, they proposed an optimization-based scheme to reconstruct stochastic SVBRDF using a flash and no-flash image pair.

Leveraging self-similarity assumption, some methods [Zhao et al. 2020; Henzler et al. 2021; Wen et al. 2022] designed an unsupervised generative neural network trained using different small tiles of an input image with similar repetitive features. These methods prove that explicitly defining material correlations in deep learning can effectively improve the prediction accuracy of SVBRDF. However, compared to the basis material assumption, the applied range of the stationary assumption is extremely limited.

2.2.2 Basis Material Assumption. Matusik et al. [2003a; 2003b] proved that any BRDF could be represented by a linear combination of some collected BRDFs. Some methods [Lensch et al. 2003; Chen et al. 2014; Lawrence et al. 2006] extended this observation into SVBRDF estimation and assumed that SVBRDF can be blended by a sparse set of basis BRDFs, which exploits the correlations between different material points. Besides, a pre-collected set of basis materials and sparse blend prior have been employed to reduce the number of input images [Dong et al. 2010; Ren et al. 2011]. Furthermore, a series of methods [Goldman et al. 2010; Zhou et al. 2016; Nam et al. 2018; Alldrin et al. 2008] integrated the pre-collection process of basis materials into an iterative optimization framework along with the blending weights. To improve the effect of optimization, Kim and Lee [2022] proposed a deep embedding clustering-based joint scheme to simultaneously update basis materials and their blending weights. These methods successfully integrate basis material

assumption into optimization-based SVBRDF estimation. However, their effectiveness in estimating SVBRDF with sparse measurements, particularly from a single measurement, is constrained by the lack of general priors learned from data. Moreover, the concept of basis materials has also been applied to the representation of Bidirectional Texture Functions (BTF)[Ruiters et al. 2013; Fan et al. 2023].

#### 3 METHOD

# 3.1 Problem Formulation

Our goal is to estimate spatially-varying material reflectance properties from a single color image. The material sample is assumed to be a nearly planar surface with geometric details that the normal map can model. Similar to Aittala et al. [2015; 2016], we assume that the surface is lit by an approximated point light source co-located with the camera, and the optical axis of the camera is approximately perpendicular to the material sample surface. Note that, we do not need to calibrate the intrinsic and extrinsic parameters of the camera. Moreover, we assume that the reflectance at each material surface point can be well-represented by the Cook-Torrance BRDF model [Cook and Torrance 1981] with GGX microfacet normal distribution [Walter et al. 2007]. Therefore, each SVBRDF can be represented by four material maps: normal map n, diffuse map d, roughness map r, and specular map s. Previous deep learning methods aim to learn a function F to map input image I into its corresponding material maps  $M = \{n, d, r, s\}$ , as follows:

$$M = F(I). (1)$$

Compared with Eq. 1, introducing basis material assumption, we do not directly predict material maps, but instead a set of basis materials  $\{b_i\}$  containing  $\{n_i, d_i, r_i, s_i\}$  and their per-point blending weights  $\{w_i\}$ . Their linear combination is the estimated material maps. Note that, in the standard basis material model used in previous work,  $b_i$  is uniform for each surface point. Moreover, we explicitly consider the real lighting directions l under each acquisition.

$$\{b_i, w_i\} = F(I, l), \quad i = 1, 2, \dots, N$$

$$M = \sum_{i=1}^{N} w_i b_i, \quad w_i, b_i \in [0, 1], \sum_{i=1}^{N} w_i = 1.$$
 (2)

To solve Eq. 2, our algorithm needs to meet three requirements. Firstly, it must construct an appropriate basis material model that offers flexibility in adapting to different target materials. Additionally, as the output of F, this model should exhibit a fixed form to facilitate effective training. Secondly, it should find constraints for training without ground-truth  $\{b_i, w_i\}$ . Finally, it should utilize the single input image I to estimate the real lighting directions I.

# 3.2 Algorithm

To meet the above requirements, we proposed DeepBasis, as shown in Fig. 2. It takes a single image as the input and predicts basis materials and their blending weights through the network. Then, the estimated SVBRDF is the linear combination of predictions. DeepBasis has three special designs. The first one is a two-level basis material model consisting of global and local components. It

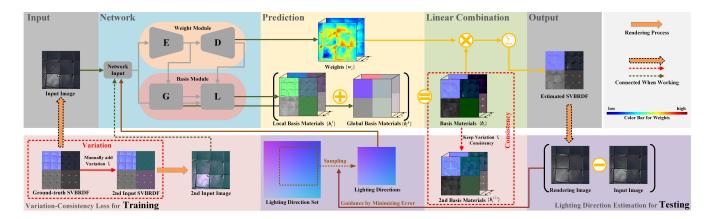


Figure 2: The overview of DeepBasis. It takes a single image as input to the network. The prediction is composed of three elements: weights, local basis materials, and global basis materials. The estimated SVBRDF is the linear combination of weights and the summation of these two basis materials. During the training phase, the introduction of variation-consistency loss ensures that the variation of input SVBRDF is faithfully reflected solely in the predicted basis materials. During the testing phase, the unknown lighting direction can be estimated by iterative sampling within a lighting direction set.

can express a wide range of materials under a fixed form, thereby facilitating the extraction of data priors. The second one is the joint prediction of basis and weight. It makes the network obtain the estimated SVBRDF during each forward pass. Given that the linear combination operation is differentiable, the joint prediction enables the network to be trained with the existing SVBRDF dataset. Besides, we also designed a variation-consistency loss to offer further constraints. The last one is the estimation of real lighting directions, we proposed an optimization-based method to use multiple forward passes of the network to find the optimal lighting directions. Additionally, DeepBasis is well-suited to perform basis refinement after prediction, which makes the estimated SVBRDF closer to the input sample. In the following paragraphs, we discuss the algorithmic details, including two-level basis material model, variation-consistency loss, lighting direction estimation, and basis refinement.

3.2.1 Two-level Basis Material model. To offer enough representation by a small fixed set of basis materials, we proposed that compared with a set of basis materials  $\{b_i\}$  shared by the whole material sample in the previous method, each surface point p of the material sample should have its customized basis materials  $\{b_i(p)\}$ . Thus, the variation of bases on different surface points replaces the changing of basis number, providing sufficient expression capability. Meanwhile, to keep the material correlations for  $\{b_i(p)\}$ , we proposed a two-level basis material model as follows:

$$b_i(p) = b_i^g + b_i^l(p), \tag{3}$$

where  $b_i^g$  is a global basis material shared by all material surface points and  $b_i^l(p)$  is the local one tailored for each surface point. Each  $\{b_i(p)\}$  can be regarded as a specific deviation  $\{b_i^l(p)\}$  on the global basis material  $\{b_i^g\}$ . During the back-propagation, the gradients to the global bases have the same optimization directions but those to the local do not. It ensures the global features are more easily accumulated into global bases, thereby offering global

material constraints similar to the previous standard basis model, which reduces single-image ambiguity. Meanwhile, the adaptive combination of these two components offers the flexible expression capabilities previously achieved by altering the basis number. Hence, even with a fixed basis number, the two-level model retains broad expressive capacity, making it well-suited for deep learning predictions.

3.2.2 Variation-Consistency Loss. Although the joint prediction strategy makes the existing SVBRDF dataset available for training, relying solely on the SVBRDF constraint leads to ambiguity in the prediction of basis materials and blending weights. To solve it, we design a variation-consistency loss to leverage the variation between twice network forward passes to further constrain the training, as follows:

$$\begin{aligned} \{b_i^{(1)}, w_i^{(1)}\} &= F(R(M), l), \ \{b_i^{(2)}, w_i^{(2)}\} = F(R(M + \xi), l) \\ \mathcal{L}_{vc} &= ||\{(b_i^{(1)} + \xi) - b_i^{(2)}\}||_1, \end{aligned} \tag{4}$$

where R(M) is the rendering of SVBRDF. The loss computation contains twice network forward passes. Through the first one, we can obtain the predicted basis materials  $b_i^{(1)}$  and corresponding weights  $w_i^{(1)}$  for the input material sample generated by ground-truth SVBRDF M. Before performing the second forward pass, we manually add a random variation  $\xi$  into ground-truth SVBRDF to obtain a new SVBRDF  $(M+\xi)$  for the generation of the second input sample. Then, through the second pass, we obtain new predicted bases  $b_i^{(2)}$  and weights  $w_i^{(2)}$ . The variation-consistency loss requires the first predicted basis materials plus the variation value equal to the second ones  $(b_i^{(1)}+\xi=b_i^{(2)})$ , as shown in Fig. 2 (pink box).

The variation  $\xi$  has two properties. Firstly, it is uniform for each surface point, ensuring that the spatial structure of the input material remains unchanged. Secondly, it varies across different

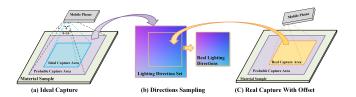


Figure 3: Real Capture Modeling. (a) shows the ideal capture, and the generation of the probable capture area. (b) shows the lighting direction set. (c) shows the real capture with camera offset, and the real lighting direction can be seen as a sampling of the lighting direction set.

reflectance maps, enabling the variation-consistency loss to effectively separate the appearance measurements into their respective maps.

3.2.3 Lighting Direction Estimation. As shown in Fig. 3(a) ideal capture, when we assume the maximum offset angle is  $\delta$ , we can obtain a probable capture area by a FOV  $\theta+2\delta$ , where  $\theta$  is the real camera FOV. In this area, we can calculate each pixel's lighting direction to obtain a set, as shown in Fig. 3(b), and lighting directions of the real capture shown in Fig. 3(c) must be one of its sub-areas. Thus, estimating the real lighting directions under hand-held offset is modeled as finding the optimal sampling from this set. The estimated lighting directions  $l_e$  can be computed as follows:

$$l_e = \arg\min_{l_i} ||R(L(F(I, l_i)), l_i) - I||,$$
 (5)

where  $l_i$  represent a possible sampling, and  $L(\cdot)$  is the linear combination operation. The optimization process is visually shown in Fig. 2 (bottom purple box). Taking the sampled real lighting directions as network input, we can obtain the estimated SVBRDF, and the rendering image can be computed. We minimize the error between the rendering image and input image to guide finding the optimal real lighting directions. Given that the rendering  $R(\cdot)$  is more sensitive to the accuracy of estimated lighting directions than the network prediction  $F(\cdot)$ , we can speed up the optimization as follows:

$$l_i^{(k+1)} = \arg\min_{l_j} ||R(L(F(I, l_i^{(k)})), l_j) - I||, \tag{6}$$

where  $l_i^{(k)}$  represents the k-th  $l_i$  of Eq. 5. Given that this equation calculation does not involve the network forward pass, under GPU parallel computing, the taking time is much shorter than performing a network forward pass.

3.2.4 Basis Refinement. Inspired by Gao et al. [2019], we adopt a similar strategy to refine the estimated SVBRDF by minimizing the difference between the input and rendered image. Benefiting from the prediction of basis materials and weights, we can keep the weights fixed and optimize solely global bases.

arg min 
$$||R(L(\{b_i^g + b_i^l\}, \{w_i\}), l) - I||.$$
 (7)  $\{b_i^g\}$ 

The predicted weights possess a well-defined spatial structure. Therefore, optimizing global bases with fewer degrees of freedom effectively prevents local overfitting during single-image optimization. Meanwhile, optimizing global bases enables the utilization of high errors in local regions to refine the global material reflectance. For additional details, please refer to the supplementary materials.

#### 4 IMPLEMENTATION

This section discusses the critical implementation details, including the network architecture, loss function, training details, and testing process. The source code and pre-trained model will be released.

#### 4.1 Network Architecture

As shown in Fig. 2 (top blue box), the network of our DeepBasis consists of weight and basis module. For the weight module, we directly use the architecture proposed by Deschaintre et al. [2018] and modify the input and output. The input has 9 channels, including a 3-channel input image, a 3-channel logarithmic image flattening the dynamic range [0, 1] of the input image, and a 3-channel lighting-mark image. The lighting-mark image is obtained by rendering a fixed SVBRDF using sampled lighting directions. Compared with directly taking directions as input, the rendering makes them unified with other inputs in the image domain. The output is an N-channel weight image and its resolution is the same as the input image.

Given the strong correlation between basis materials and the blending weights, our designed basis and weight modules share the same encoder (E). The basis module comprises two decoding units: a global unit (G) and a local unit (L). The global unit is a multi-layer perceptron (MLP) with 5 layers. Its output is a  $N \times 10$  feature map to represent N global basis materials (3-channel normal, 3-channel diffuse, 1-channel roughness, and 3-channel specular) and is tiled to the resolution  $N \times W \times H \times 10$  same as their weights. The local unit estimates the per-pixel basis materials. From the perspective of the resolution, the process from the global basis to the local per-pixel basis can be regarded as a super-resolution (SR) problem. Thus, we directly use a simple but efficient NAFNet architecture proposed by Chu et al. [2022] and Chen et al. [2022] and modify the input and output. Its inputs contain two parts. The first part is 9-channel original inputs, providing the complete information. The second part is the collection of extracted features, paying more attention to the missing features. It includes predicted global basis materials, blending weights, and the error map between the rendering and input image. The output is also a  $N \times W \times H \times 10$  feature map. In our implementation, N is equal to 10, and the effect of the number is evaluated in ablation studies.

### 4.2 Loss function

Our training loss function has two terms:

$$\mathcal{L} = \lambda_{sup} \mathcal{L}_{sup} + \lambda_{vc} \mathcal{L}_{vc}. \tag{8}$$

The supervised loss  $\mathcal{L}_{sup}$  with l1-norm minimizes the error between estimated SVBRDF and ground truth.  $\mathcal{L}_{vc}$  is the variation-consistency loss, as discussed in Section 3.2.2, and  $\xi$  are sampled on uniform distributions with range  $[0,\pi/6]$  for normal vectors and [0,0.3] for other parameters. These two loss terms are weighted by  $\lambda_{sup}$  and  $\lambda_{vc}$ . For our implementation,  $\lambda_{sup}=1$  and  $\lambda_{vc}=0.05$ .

# 4.3 Training Details

We implemented DeepBasis in PyTorch [Paszke et al. 2019] and trained it with the Adam optimizer [Kingma and Ba 2014] for 400K iterations. The initial learning rate was  $2\times10^{-5}$  and was gradually reduced to  $1\times10^{-7}$  using the cosine annealing schedule [Loshchilov and Hutter 2016]. The training data were from a public SVBRDF dataset presented by Deschaintre et al. [2018]. The input directions were sampled on a 2-D normal distribution whose mean is the zero offset and whose standard deviation is equal to a third of the maximum offset. In our implementation, the maximum offset angle is 16.33°. The training takes approximately 12 hours on a single NVIDIA GeForce RTX 4090 graphics card.

# 4.4 Testing Process

When real lighting directions are pre-known, DeepBasis can directly predict the final results through a network forward pass. For the more common unknown case, DeepBasis first predicts the initial results using ideal lighting directions and then uses the iterative optimization mentioned in Section 3.2.3 to find the optimal estimation and obtain the final prediction results. Benefiting from the acceleration of Eq. 6, it usually takes only a three-times network forward pass to get the final  $l_e$ . In our tests, the whole process usually completes within 1 second. When incorporating 500-iterations basis refinement in our implementation, the overall time required for the process can still be maintained within 3 seconds.

#### 5 EXPERIMENTS

In order to evaluate our method, we performed the numerical evaluation and visual analysis on synthetic scenes and real-world captured images and compared the results against the state-of-the-art methods. Moreover, we performed ablation studies to analyze different components' effects on our method.

#### 5.1 Comparison Experiments

We compared our method against the state-of-the-art single-image SVBRDF estimation methods, including RADN [Deschaintre et al. 2018], Hybrid [Zhou and Kalantari 2021] and Look-Ahead [Zhou and Kalantari 2022]. Additionally, we also compared results with the optimization-based methods DIR [Gao et al. 2019] and MGan [Guo et al. 2020]. We obtained their estimation results using the source code and pre-trained models provided by the authors. Note that for DIR, MaterialGan, and Look-Ahead, we provided the known lighting directions as additional inputs.

5.1.1 Comparison on Synthetic Data. We first performed a numerical comparison on a set of 122 synthetic scenes gathered from Deschaintre et al. [2018; 2019], and the results are shown in the upper part of Table 1. Note that these test scenes were never involved in the training. We evaluated the estimated quality of reflectance parameters using Root Mean Square Error (RMSE) and evaluated the re-rendering images using both RMSE and learned perceptual image path similarity (LPIPS) [Zhang et al. 2018]. We performed the re-renderings on 30 random lighting and viewing directions. Our DeepBasis outperformed other methods in estimated material maps and re-rendering quality, as indicated by the RMSE and LPIPS metrics. Furthermore, using basis refinement (Ours+BF) can

Table 1: Numerical comparison on 122 synthetic scenes. We evaluate the quality of estimated normal, diffuse, roughness, and specular (N, D, R, S) in terms of RMSE. The re-renderings (Ren.) for each SVBRDF are performed on 30 random lighting directions and evaluated by both RMSE and LPIPS. The lowest errors are highlighted in bold. The upper part is the comparison with the prior methods, and the lower part is the results of ablation studies.

		LPIPS				
Methods	N	D	R	S	Ren.	Ren.
RADN	0.067	0.044	0.292	0.075	0.091	0.327
DIR	0.073	0.036	0.252	0.067	0.077	0.178
MGan	0.081	0.043	0.210	0.072	0.087	0.263
Hybrid	0.074	0.033	0.167	0.073	0.095	0.199
lookahead	0.065	0.051	0.202	0.083	0.092	0.233
Ours	0.053	0.031	0.162	0.043	0.076	0.170
Ours+Opt.	0.053	0.30	0.161	0.043	0.071	0.134
$w/o \mathcal{L}_{vc}$	0.053	0.035	0.188	0.065	0.082	0.234
w/o local	0.076	0.033	0.137	0.045	0.078	0.350
w/o global	0.051	0.036	0.159	0.060	0.084	0.252
w/o bases	0.053	0.037	0.202	0.063	0.085	0.245
baseline	0.052	0.030	0.162	0.043	0.062	0.153

make the estimated materials produce higher-quality re-rendering appearances.

Next, we performed a visual comparison and presented two representative synthetic scenes challenging for single-image SVBRDF estimation in Fig. 6. More scenes are available in the supplementary material. We found that RADN fails to reconstruct specular details, while the results of DIR and MGan suffer from artifacts due to single-image overfitting. For Hybrid, the input lighting is baked into reflectance maps (especially diffuse map), resulting in plausible re-rendering results for the scene dominated by diffuse reflectance but obvious artifacts in the decoupled reflectance maps. In Look-Ahead's results, the lack of normal details impedes the re-rendering quality. In contrast, our results can better decouple reflectance maps while having high-quality re-rendering results. Therefore, both the numerical and visual comparisons prove that our estimated SVBRDF is closer to the ground truth (GT) than other methods.

5.1.2 Comparison on Real Data. To evaluate our method, we collected 58 real scenes and captured 9 images with calibrated lighting for each scene, similar to Guo et al. [2020]. Among the captured images, one serves as the input, while the remaining images are utilized as references to evaluate the re-rendering quality of the estimated SVBRDF. Moreover, we conducted evaluations on real-world datasets of MGan and Look-Ahead. The numerical comparison results are presented in the Table. 2, demonstrating that our method is capable of generating re-rendering results that are closer to the references. In Fig. 7, we show two real scenes for the visual comparison, and more results are available in the supplementary material. The first scene is a metal surface with protrusions. For the methods RADN, DIR, MGan and Hybrid, the lighting information is incorrectly baked in the diffuse map. Although Look-Ahead can remove

Table 2: Numerical comparison on real scenes. These scenes are collected from MGan [Guo et al. 2020], Look-Ahead [Zhou and Kalantari 2022], and our real-world capturing. We calculate the difference between the re-rendered and the reference images by RMSE and LPIPS.

	MGan		Look-Ahead		Ours	
Methods	RMSE	LPIPS	RMSE	LPIPS	RMSE	LPIPS
RADN	0.126	0.367	0.118	0.359	0.172	0.307
DIR	0.148	0.344	0.108	0.300	0.150	0.245
MGan	0.149	0.385	0.121	0.350	0.142	0.403
Hybrid	0.150	0.323	0.141	0.289	0.157	0.262
Look-Ahead	0.117	0.289	0.095	0.230	0.152	0.239
Ours	0.111	0.263	0.084	0.218	0.132	0.231
Ours+Opt.	0.109	0.254	0.083	0.204	0.130	0.215

the lighting effect on reflectance maps, its estimated normal and roughness maps lack the necessary details to reconstruct the specular reflectance, as indicated by the red box. The second scene is a greeting card adorned with sequins, and these sequins are partly lit in the input image, as shown in the red box. The previous methods fall short in recovering the correct roughness or specular maps for these sequins, thus the re-rendered images lack the corresponding highlight details. Benefiting from the utilization of basis materials, our method effectively leverages material spatial correlations to reduce the ambiguity in the overall reflectance map decomposition. Therefore, in the first scene, our estimation exhibits richer normal details, while in the second scene, we can infer a more complete specular map from partially activated highlight information on the sequins.

# 5.2 Ablation Studies

We conducted ablation studies performed on 122 synthetic scenes to analyze the effects of different components. To solely focus on evaluating the individual effect of different components, the known lighting directions were provided during the testing phrase.

5.2.1 The Effect of Basis Number. To evaluate the effect of basis number on the two-level basis material model, we respectively trained DeepBasis with different basis numbers and compared the estimated results, as shown in Fig 8. We observed that excluding the special case when the basis number is equal to one, the quality of results has no obvious increasing or decreasing trend as the basis number increases. It demonstrates that our two-level model does not require a specific basis number selection to achieve sufficient representational capability. With a lower basis number, the local bases automatically play a more significant role in compensating for the limited expressive ability of the global bases, and vice versa. Consequently, the flexibility in expressive capability that was originally achieved by changing the basis number is replaced by the proportional variation of local bases in the two-level model. Therefore, besides 1, any other basis number is viable, and we used 10 in our implementation.

5.2.2 The Effect of Two-level Basis Material Model. We conducted experiments using only local bases and only global bases to analyze their individual effects on the two-level model. Additionally, to

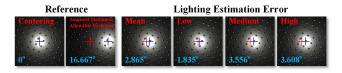


Figure 4: Lighting estimation evaluation. In order to visually represent the angular error, we rendered a synthetic low-roughness material under a point light source. The deviation from the rendered position of a central point light source was used to illustrate the error angle. The left section of the image serves as a visual reference, depicting  $0^{\circ}$  and  $16.33^{\circ}$  angles, while the right section shows the actual representation of estimation errors.

evaluate the overall impact of bases, we removed all bases while maintaining the proposed two-level structure for per-pixel SVBRDF estimation. The numerical evaluation results are presented in Table. 1, and visual results are displayed in Fig. 10.

When comparing results without local bases, although the explicit spatial constraint mitigates the adverse effects of over-exposure, it lacks the flexibility to represent fine details. Conversely, without global bases, there is an increase in detail expression; however, it is accompanied by over-exposure-induced weight irregularities and subsequently affects the estimated SVBRDF. However, compared to the results without any bases, the presence of artifacts is still reduced, indicating that per-pixel basis materials still better leverage implicit spatial correlations. These experiments validated that our proposed two-level model (baseline) is reasonable and necessary for the utilization of explicit material correlations and the expression of fine details.

5.2.3 The Effect of Variation-consistency Loss. To evaluate the impact of the variation-consistency loss, we conducted an experiment without it. The results, as shown in Table. 1, indicate degradation in the estimated reflectance maps and re-rendering results. In the context of basis materials, the key avoiding artifacts lies in whether the weights can effectively represent spatial structures. In Fig. 10, we observed partial aliasing in the predictions of basis and weights, leading to the disrupted structures of weights around the central highlight region, consequently affecting the prediction results.

5.2.4 The Effect of Lighting Direction Estimation. To evaluate the accuracy of the estimation, we conducted testing on 10 randomly rendered images per scene under varying lighting directions. The mean error of estimated angular values was calculated across a total of 1220 inputs for evaluation. Considering the significant influence of material roughness on the highlight regions of the images, we categorized the synthetic scenes into low, medium, and high roughness groups. This categorization allowed us to analyze the impact of different roughness levels on lighting estimation, as shown in Fig. 4. Additionally, since the primary objective of lighting estimation is to serve for SVBRDF recovery, we examined the robustness of our method to variations in input lighting directions that deviate from being perpendicular to the sample surface. The evaluation results are presented in Fig. 5. Based on the two experiments, it is

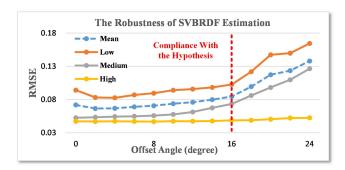


Figure 5: We evaluate the robustness of our method to the input lighting directions using RMSE metrics.

evident that our method accurately estimates the lighting, ensuring stable SVBRDF estimation within the allowed deviation angle (16.33° in our implementation). Furthermore, although materials with high roughness do impact the accuracy of lighting estimation, their SVBRDF estimation exhibits lower sensitivity to variations in input lighting, making the effect negligible.

# **6 LIMITATIONS AND FUTURE WORK**

Single-image SVBRDF estimation is an extremely challenging problem. Although our DeepBasis integrates explicit material spatial correlations into the learned material data priors, further reducing the ambiguity from single-image estimation, we observed that these data priors may still not handle some unconventional materials. Figure 9 offers such an example, where the material sample is a plastic packaging surface with printed 3D patterns. In this case, the printed lighting and shadow patterns might be mistakenly interpreted as reflectance details. In future work, to address this issue, more input images or some strong priors should be provided. For example, if the input sample surface is assumed to be planar with no normal variation, the scene in Fig. 9 may be correctly estimated.

# 7 CONCLUSION

We have proposed DeepBasis to successfully integrate basis material assumption into learned data priors for single-image SVBRDF estimation. To do so, we proposed a two-level basis material model to ensure the effective extraction of data priors by providing sufficient representation even with a fixed number of bases. Additionally, we adopted the joint prediction method such that the existing SVBRDF dataset can serve for training, and we further designed a variation-consistency loss to avoid the overlap between the feature extractions of bases and weights. Finally, under the hand-held capture setting, we proposed an optimization-based method to estimate the real lighting directions. Extensive experiments on synthetic scenes and real-world captured images demonstrate that our method can produce better results than state-of-the-art methods.

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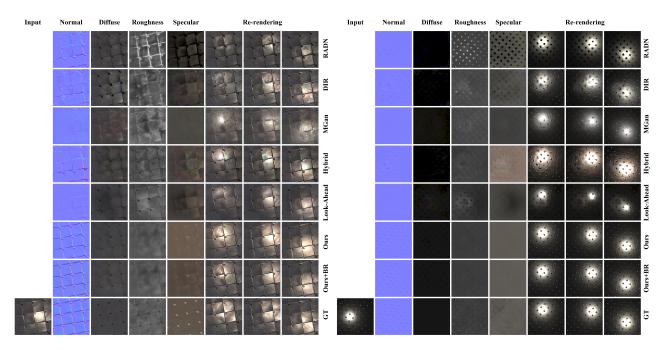


Figure 6: Comparison on Synthetic Data. We compare our results against RADN of Deschaintre et al. [2018], DIR of Gao et al. [2019], MGan of Guo et al. [2020], Hybrid of Zhou et al. [2021] and Look-Ahead of Zhou et al. of [2022] on synthetic data. For each scene, we evaluate the reflectance maps and the re-rendering images using the ground truth (GT).

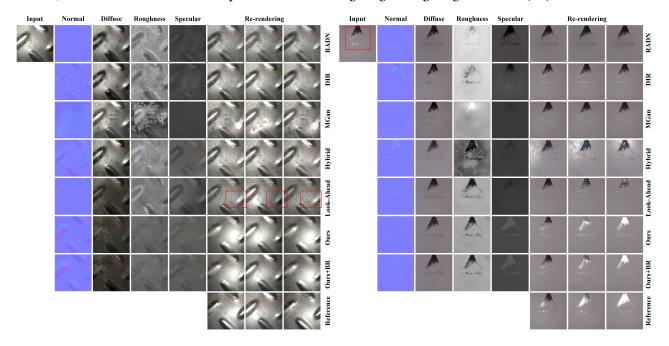


Figure 7: Comparison on Real Data. All input images are captured by a hand-held mobile phone camera with a co-located flashlight. Here, we compare our method against RADN of Deschaintre et al. [2018], DIR of Gao et al. [2019], MGan of Guo et al. [2020], Hybrid of Zhou et al. [2021] and Look-Ahead of Zhou et al. of [2022]. Note that, the required lighting directions of DIR, MGan and Look-Ahead are additionally provided by calibration using a checkerboard.

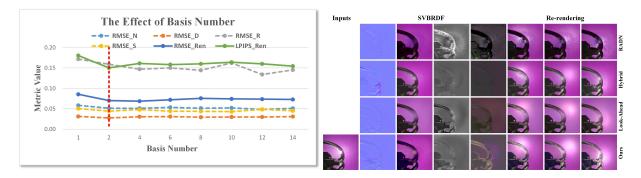


Figure 8: The effect of basis number. The diagram shows Figure 9: Failure cases. This sample is a plastic packaging the RMSE of SVBRDF and RMSE/LPIPS of re-rendering surface printed with 3D patterns. The patterns with the images under different basis number.

lighting and shadows may interfere with the estimation of SVBRDF. We also provide the results estimated by Deschaintre et al. [2018], Zhou and Kalantari [2021; 2022].

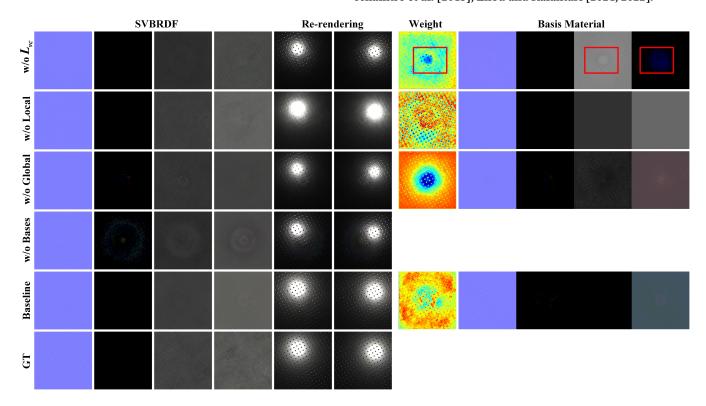


Figure 10: The effect of without different components. In the right part, we show one of basis materials and its corresponding weight to illustrate the direct effect on basis and weight prediction.